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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: DRE-0144

Inventors: Wheatley et al.

Serial No.: 10/805,704

Filing Date: March 22, 2004

Examiner: Not Yet Assigned

Group Art Unit: 1616

Title: Polymer-Based Microcapsules and  
Nanocapsules for Diagnostic Imaging and  
Drug Delivery and Methods for Their  
Production

I, Kathleen A. Tyrrell, Registration No. 32,257,  
certify that this correspondence is being deposited  
with the U.S. Postal Service as First Class mail in  
an envelope addressed to the Commissioner for Patents  
P.O. Box 1450, Alexandria, VA 22313-1450

On this date: July 8, 2004

  
Kathleen A. Tyrrell, Registration No. 38,350

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

(XX) In accordance with §1.97(b), since this Information  
Disclosure Statement is being filed either within three

months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, no additional fee is required.

( ) In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, therefore:

( ) Certification in Accordance with §1.97(e) is attached hereto; or

( ) Authorization to charge Deposit Account No. 50-1619 the fee of \$180.00 as set forth in §1.17(p) is provided.

( ) In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee, therefore included are: Certification in Accordance with §1.97(e); Petition Requesting Consideration of the Information Disclosure Statement; and the fee of \$130.00 as set forth in §1.17(I) (1).

(XX) Copies of each of the references listed on the attached Form PTO-1449 (modified) are enclosed herewith.

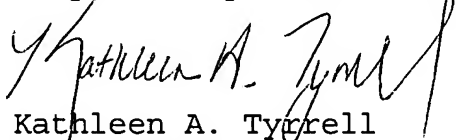
( ) In accordance with §1.98(d), copies of some or all of the references listed on the attached Form PTO-1449 (modified) are not enclosed herewith because they were previously submitted to the U.S. Patent and Trademark Office in prior application Serial No. \_\_\_\_\_, filed \_\_\_\_\_, for which a claim for priority under 35 U.S.C. §120 has been made in the instant application.

Please charge any deficiency or credit any overpayment to Deposit Account No. 50-1619. This form is submitted in duplicate.

( ) The relevance of the listed references in a foreign language is as stated in the specification at pages @@.

(XX) All listed references are in the English language.

Respectfully submitted,



Kathleen A. Tyrrell  
Registration No. 38,350

Date: July 8, 2004

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Sheet 01 of 02

<b>Form PTO-1449 Modified</b> List of Patents and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce	Docket No. <b>DRE-0143</b>	Serial No. <b>10/805,703</b>
	Applicant <b>Wheatley et al.</b>	
	Filing Date <b>March 22, 2004</b>	Group <b>Not Yet Assigned</b>

**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
	AA	5,352,436	10-4-94	Wheatley et al.	424	9.52
	AB	5,637,289	6-10-97	Klaveness et al.	424	9.3
	AC	5,648,062	7-15-97	Klaveness et al.	424	9.34
	AD	5,827,502	10-27-98	Klaveness et al.	424	9.52
	AE	5,614,169	3-25-97	Klaveness et al.	424	9.52
	AF	6,139,819	10-31-00	Unger et al.	424	9.52
	AG	6,485,705	11-26-02	Schneider et al.	424	9.52
	AH	6,375,931	4-23-02	O'Stenson et al.	424	9.52
	AI	6,524,552	2-25-03	Klaveness et al.	424	1.85
	AJ	6,315,981	11-13-01	Unger	424	9.323

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation YES	NO

EXAMINER

DATE CONSIDERED

<b>Form PTO-1449 Modified</b> List of Patents and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce	Docket No. <b>DRE-0144</b>	Serial No. <b>10/805,704</b>
	Applicant <b>Wheatley et al.</b>	
	Filing Date <b>March 22, 2004</b>	Group <b>1616</b>

## U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	AK	6,264,917	7-24-01	Klaveness et al.	424	9.52
	AL	5,690,907	11-25-97	Lanza et al.	424	9.5
	AM	5,958,371	9-28-99	Lanza et al.	424	1.21
	AN	6,548,046	4-15-03	Lanza et al.	425	9.5
	AO	6,676,963	1-13-04	Lanza et al.	424	450

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation YES NO	

EXAMINER

DATE CONSIDERED